

CONFLICT OF INTEREST CODE
OF THE
SANTA ROSA REGIONAL RESOURCES AUTHORITY

The Political Reform Act (Gov. Code § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. § 18730) that contains the terms of a standard conflict of interest code which can be incorporated by reference in an agency's code. After public notice and hearing, Section 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This incorporation page, Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the Santa Rosa Regional Resources Authority (the "Authority").

All officials and designated positions required to submit a statement of economic interests shall file their statements with the Authority's Administrator, or their designee, as the Authority's Filing Officer/Official. The Authority's Administrator, or their designee, shall retain the originals of the statements filed by all officials and designated positions and shall make the statements available for public inspection and reproduction during regular business hours. (Gov. Code Section 81008).

APPENDIX
CONFLICT OF INTEREST CODE
OF THE
SANTA ROSA REGIONAL RESOURCES AUTHORITY
EXHIBIT "A"

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

The Authority's officials, including those of its Administrator, Rancho California Water District, who manage public investments, as defined by 2 Cal. Code of Regs. § 18701(b), are NOT subject to the Authority's Code, but must file disclosure statements under Government Code Section 87200 et seq. [Regs. § 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments¹:

Members of the Authority's Board of Directors and Executive Advisory Committee

The Authority's Administrator and Secretary-Treasurer

Rancho California Water District's General Manager

Investment Consultant

¹ Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by § 87200.

DESIGNATED POSITIONS

| <u>DESIGNATED POSITIONS TITLE OR FUNCTION</u> | <u>DISCLOSURE CATEGORIES ASSIGNED</u> |
|--|---------------------------------------|
| Accounting Manager | 4 |
| Administrative Assistant, Senior-Engineering | 5 |
| Administrative Services Supervisor | 4 |
| Assistant General Manager - CFO/Treasurer | 1, 2 |
| Assistant General Manager – Engineering & Operations | 1 |
| Associate Engineer | 2, 3, 5 |
| Budget/Debt Administrator | 1, 2 |
| Construction Contracts Manager | 2, 3, 5 |
| Customer & Support Services Manager | 5 |
| Datacenter Operations Supervisor | 5 |
| Director of Administration | 5 |
| District Secretary | 4 |
| Electrical Services Supervisor | 5 |
| Engineering Manager - CIP & Development | 2, 3, 5, 6, 7 |
| Engineering Services Supervisor | 5 |
| ERP Business Analyst | 5 |
| Field Services Manager | 5 |
| Field Services Supervisors for Construction & Facilities | 1, 2 |
| Fleet Services Supervisor | 5 |
| General Counsel for the Authority | 1, 2 |
| GIS Coordinator | 5 |
| Governmental Affairs Manager | 4 |
| Management Analyst | 4 |
| Operations & Maintenance Planner/Scheduler | 5 |
| Procurement and Contracts Administrator | 4 |

DESIGNATED POSITIONS TITLE OR FUNCTION

DISCLOSURE CATEGORIES ASSIGNED

| | |
|---------------------------------------|---------|
| Water Reclamation Manager | 5 |
| Water Resources Manager | 2, 3, 5 |
| Water Systems Analyst | 5 |
| Water Use Efficiency & Grants Manager | 1 |
| Consultants/New Positions | * |

Note: Positions are filled by employees of Rancho California Water District, but act in a staff capacity for the Authority.

*Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitations:

The Authority may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Authority's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008)

EXHIBIT "B"

DISCLOSURE CATEGORIES

The disclosure categories listed below identify the types of economic interests that the designated position must disclose for each disclosure category to which they are assigned.

Category 1: All investments and business positions in business entities, and sources of income, including receipt of gifts, loans and travel payments, that are located in, that do business in or own real property within the Authority's jurisdiction.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside of the Authority's jurisdiction, including any leasehold, beneficial or ownership interest or option to acquire property.

Category 3: All investments and business positions in business entities, and sources of income, including receipt of gifts, loans and travel payments, that are engaged in land development, construction or the acquisition or sale of real property within the Authority's jurisdiction.

Category 4: All investments and business positions in business entities, and sources of income, including receipt of gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the Authority or its Administrator.

Category 5: All investments and business positions in business entities, and sources of income, including receipt of gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Position's department, unit or division.

Category 6: All investments and business positions in business entities, and income, including the receipt of loans, gifts, and travel payments, from sources, that filed a claim against the Authority or its Administrator during the previous two years, or have a claim pending against the Authority or its Administrator.


Category 7: All investments, business positions and income, including gifts, loans and travel payments, or income from a nonprofit organization, if the source is of the type to receive grants or other monies from or through the Authority.

This is the last page of the conflict of interest code for the **Santa Rosa Regional Resources Authority**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **Santa Rosa Regional Resources Authority** was approved on 9/13/ 2021. This code will become effective on 10/15 2021.



John M. Feser, Jr.

Senior Commission Counsel

Fair Political Practices Commission